

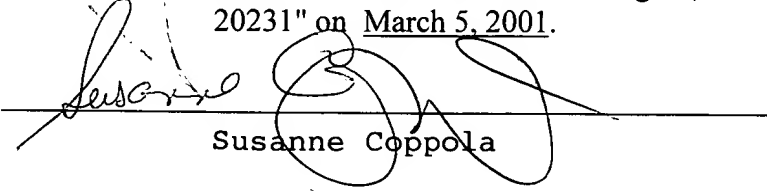
04CO 5-8-01 0410 #4

PATENT APPLICATION

CERTIFICATE OF MAILING



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Susanne Coppola

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S) : Lalit Narayan and Dechu P. Muthana  
SERIAL NO. : 09/766,911  
FILED : January 22, 2001  
FOR : System and Method to Establish Trading Mechanisms Employing Auctions and Reverse Auctions  
GROUP ART UNIT : unknown  
EXAMINER : unknown

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Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

S I R:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56(a), applicant encloses herewith a copy of an Information Disclosure Citation listing patent documents relevant to the background of the invention described and claimed in the above-identified application. Also enclosed are copies of the listed documents.

Applicant respectfully requests that the Examiner consider the enclosed references in determining the patentability of the claimed invention. Applicant also requests that the Examiner return a copy of the enclosed Information Disclosure Citation with initials or other marks indicating that the references have been so considered.



Respectfully submitted,  
COLEMAN SUDOL SAPONE, P.C.

By: *R. Neil Sudol*  
R. Neil Sudol  
Reg. No. 31,669

708 Third Avenue, 14th Floor  
New York, New York 10017  
(212) 679-0090

Dated: February 28, 2001

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>	Atty Ref: B41-003	Serial No: 09/766,911
	Applicants: Lalit Narayan and Dechu P. Muthana	
	Filing Date: January 22, 2001	Art Unit: unknown

United States Patent Documents						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	4,412,287	October 25, 1983	Braddock, III			September 15, 1982
	6,058,379	May 2, 2000	Odom et al.			July 11, 1997
	5,727,165	March 10, 1998	Ordish, et al.			December 27, 1994
	5,794,219	August 11, 1998	Brown			February 20, 1996
	5,905,974	May 18, 1999	Fraser, et al.			December 13, 1996
	5,905,975	May 18, 1999	Ausubel			January 2, 1997
	6,021,398	February 1, 2000	Ausubel			May 3, 1999
	5,915,209	June 22, 1999	Lawrence			October 3, 1997

Foreign Patent Documents								
Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation		
						Yes	No	
	- NONE -							

Other Documents	
Examiner Initial	Citation
	- NONE -

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	